

SAD  
# 3  
11S-01

JC997 U.S. PTO  
09/873928  
06/04/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: James Weifu Lee et al  
SERIAL NO.: 09/ 873928  
FILED: 6-4-01  
TITLE: Catalyst-Induced Growth of Carbon Nanotubes on Tips of Cantilevers and Nanowires

DOCKET NO.: 0899  
ART UNIT: 1744  
EXAMINER: Leader

INFORMATION DISCLOSURE STATEMENT under 37 CFR 1.56 and 1.97

The Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

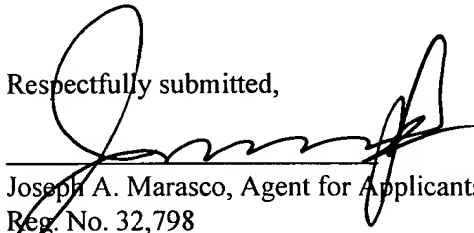
Submitted herewith on Form PTO-1449 is a listing of documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56. A copy of each document is being submitted herewith to comply with the provisions of 37 C.F.R. §§ 1.97 and 1.98.

Applicant presents these references that the Patent Office may determine any relevancy thereof to the presently claimed invention.

Applicant respectfully requests that the references be expressly considered during the prosecution of the subject application and made of record therein and appear among the "references cited" on any patent to issue therefrom.

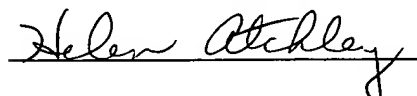
Applicant also requests that an initialed copy of Form PTO-1449 be returned in accordance with MPEP Section 609.

Respectfully submitted,

  
Joseph A. Marasco, Agent for Applicants  
Reg. No. 32,798  
UT-Battelle, LLC  
P.O. Box 2008 MS 6498  
Oak Ridge, TN 37831  
(865) 574-4178

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as EXPRESS MAIL in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on

6-4-2001  


Express Mail No. EF070545438US